

Inhibition of HIV transmission in human cervicovaginal explants and humanized mice using CD4 aptamer-siRNA chimeras

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The continued spread of the HIV epidemic underscores the need to interrupt transmission. One attractive strategy is a topical vaginal microbicide. Sexual transmission of herpes simplex virus type 2 (HSV-2) in mice can be inhibited by intravaginal siRNA application. To overcome the challenges of knocking down gene expression in immune cells susceptible to HIV infection, we used chimeric RNAs composed of an aptamer fused to an siRNA for targeted gene knockdown in cells bearing an aptamer-binding receptor. Here, we showed that CD4 aptamer-siRNA chimeras (CD4-AsiCs) specifically suppress gene expression in CD4⁺ T cells and macrophages in vitro, in polarized cervicovaginal tissue explants, and in the female genital tract of humanized mice. CD4-AsiCs do not activate lymphocytes or stimulate innate immunity. CD4-AsiCs that knock down HIV genes and/or CCR5 inhibited HIV infection in vitro and in tissue explants. When applied intravaginally to humanized mice, CD4-AsiCs protected against HIV vaginal transmission. Thus, CD4-AsiCs could be used as the active ingredient of a microbicide to prevent HIV sexual transmission.

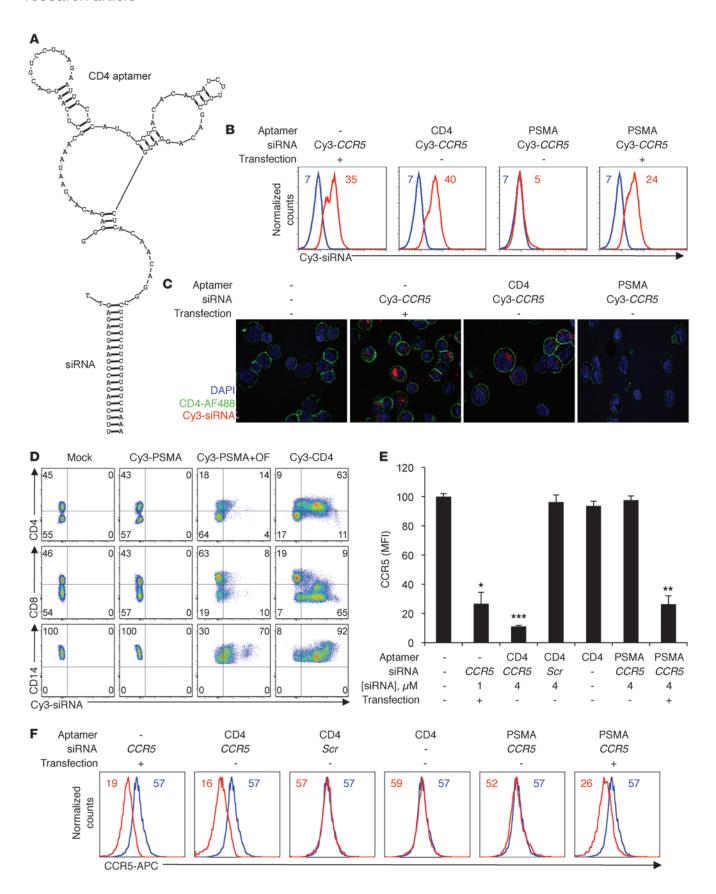
Introduction

The CAPRISA004 study, which demonstrated partial protection from sexual transmission of HIV-1 by vaginally applied tenofovir gel (1), has galvanized interest in developing an HIV microbicide. One of the major obstacles confronting this and other strategies for interrupting transmission is the transience of protection, requiring topical application just before sexual exposure and raising associated problems with compliance (2). Soon after RNAi was found to operate in mammalian cells, multiple groups showed that RNAi could be harnessed to inhibit HIV infection in vitro (3-7). Moreover, siRNAs directed against conserved viral gene sequences or the HIV receptor or coreceptor inhibit diverse viruses from multiple clades (3, 8, 9). Although knocking down the HIV receptor CD4 inhibits HIV transmission (3), targeting the CD4 gene would likely interfere with mounting effective immune responses and is therefore not desirable. CCR5, the HIV coreceptor responsible for virtually all sexual transmission of HIV (10, 11), is a more attractive RNAi target. CCR5 antagonists (12) have already proven useful at preventing HIV transmission in nonhuman primates (13) and humans (14-17). Humans bearing homozygous CCR5 mutations that abrogate CCR5 function are resistant to HIV infection and do not lead to any significant immune dysfunction (18-22). siRNAs directed against CCR5 efficiently silence gene expression for several weeks in vitro in nondividing macrophages, which suggests that gene knockdown might be used to induce durable resistance to HIV infection, circumventing the need to apply a microbicide just before each sexual encounter (8). In fact, sexual transmission of another virus, herpes simplex virus type 2 (HSV-2), can be blocked in mice for at least a week by intravaginal (IVAG) application of siRNAs targeting HSV-2 genes and the HSV-2 receptor, nectin-1 (23, 24).

Translation of these promising results for blocking HSV-2 transmission to HIV prevention, however, must first overcome the hurdle of in vivo siRNA delivery to the immune cells that HIV infects, principally CD4+ T cells and macrophages, which are resistant to most transfection techniques. Although cholesterol-conjugated siRNAs are efficiently taken up by epithelial cells throughout the genital tract (including deep in the lamina propria, resulting in protection against lethal HSV-2 infection in mice; ref. 24), these reagents do not knock down gene expression in T lymphocytes or macrophages when applied IVAG to mice (E. Basar, unpublished observations). We previously developed a method for cell-specific siRNA transfection of immune cells that uses a fusion protein composed of a cell-targeting antibody fragment joined to a protamine peptide that binds nucleic acids (25, 26). siRNAs mixed with the fusion protein are taken up by and knock down gene expression in cells bearing the cognate surface receptor, both in vitro and in tissues after intravenous injection. Modifications of this approach effectively inhibit HIV infection in humanized mice (27). However, antibodybased fusion proteins are expensive to manufacture, are potentially immunogenic, and may require refrigerated storage, making then ill-suited for use in a microbicide for resource-poor settings.

Chimeric RNAs, composed of an siRNA fused to an aptamer (a structured RNA selected to bind a cell surface ligand with high affinity), provide an attractive alternative for in vivo gene knockdown (28–31). Aptamer-siRNA chimeras (AsiCs) efficiently transfect and knock down gene expression in cells bearing the surface receptor recognized by the aptamer. Intravenous injection of AsiCs incorporating aptamers targeting prostate surface membrane Ag







Cy3-labeled CD4-AsiCs are internalized by CD4+ cells and silence CCR5 expression in vitro. (A) Design of CD4-AsiC, containing a CD4 aptamer and a CCR5 siRNA. (B and C) CD4-AsiCs or PSMA-AsiCs targeting CCR5 were Cy3 labeled at the 3' end of the antisense siRNA strand and incubated with primary CD4+ T lymphocytes from a healthy donor. Uptake was assessed 24 hours later by flow cytometry (B) and fluorescence microscopy (C; original magnification, ×60). Data are representative of 3 independent experiments. MFI of each peak is shown (mock, blue; treated, red). Transfection controls used nucleofection. (D) Specific siRNA delivery to CD4+ cells in a mixed population of resting PBMCs was assessed by flow cytometry 24 hours after incubation with 4 μ M Cy3-labeled AsiCs. In the absence of oligofectamine (OF), Cy3-labeled CD4-AsiCs were preferentially taken up by CD3+CD4+ T cells and CD4+CD14+ monocytes, whereas PSMA-AsiCs only transfected monocytes with OF. CD3+CD8+ T cells remained relatively label free. Representative dot plots of 3 experiments with different donors are shown. (E and F) To test for gene silencing, CD4+ T lymphocytes were treated with CD4-AsiCs or PSMA-AsiCs targeting CCR5, with or without transfection. Chimeras bearing scrambled siRNAs (Scr) and CD4 aptamers served as controls. Shown are mean ± SEM MFI normalized to the mock-treated sample (**E**; n = 4; *P < 0.005, **P < 0.0005, ***P < 0.00005, 2-tailed t test) and representative histograms (F; mock, blue; treated, red). In the absence of nucleofection, CCR5 was knocked down only by CCR5 CD4-AsiCs.

(PSMA) silence target gene expression in orthotopic prostate cancer mouse xenografts (28, 29). AsiCs containing an aptamer that recognizes HIV-gp120 inhibit HIV replication in already infected cells in vitro (30, 31) and in vivo (32). However, to prevent HIV transmission, it might be better to inhibit de novo infection of uninfected cells. Since HIV only infects cells bearing the CD4 receptor, CD4 AsiCs (CD4-AsiCs) could, in principle, inhibit infection of all the cells that HIV infects. To test the ability of CD4-AsiCs to inhibit HIV transmission, we engineered AsiCs using 2 high-affinity CD4 aptamers that selectively bind to human, but not mouse, CD4 (33). CD4-AsiCs might inhibit HIV infection in 2 ways: by blocking viral entry via binding to CD4 and by RNAi knockdown of viral genes, host receptors, or other host genes required for viral replication. Here we showed that CD4-AsiCs bearing siRNAs targeting HIV gag and vif or host CCR5 were specifically taken up by CD4+ cells; knocked down expression of their intended target genes; and inhibited HIV infection in primary CD4⁺ T cells and macrophages in vitro, in polarized cervicovaginal explants, and in immunodeficient NOD/SCID *Il2rg*^{-/-} (NSG) mice reconstituted with human fetal liver CD34+ cells and surgically implanted with human fetal thymic tissue (so-called "BLT mice"; ref. 34). Although the CD4 aptamer on its own inhibited HIV infection to some extent, chimeric RNAs were significantly more effective at preventing transmission to cervicovaginal explants and to BLT mice.

Results

Synthesis and purification of CD4-AsiCs. CD4-AsiCs were designed with a CD4 aptamer at the 5' end ligated to the sense (inactive) siRNA strand (Figure 1A and Supplemental Figure 1; supplemental material available online with this article; doi:10.1172/JCI45876DS1). These were in vitro transcribed (IVT) from a PCR template, using 2'-fluoropyrimidines to enhance stability in human genital fluid (Supplemental Figure 2) and reduce stimulation of cellular immune sensors that detect foreign nucleic acids. Transcripts were eluted from denaturing SDS-PAGE gels and analyzed by column chromatography and native SDS-PAGE gels

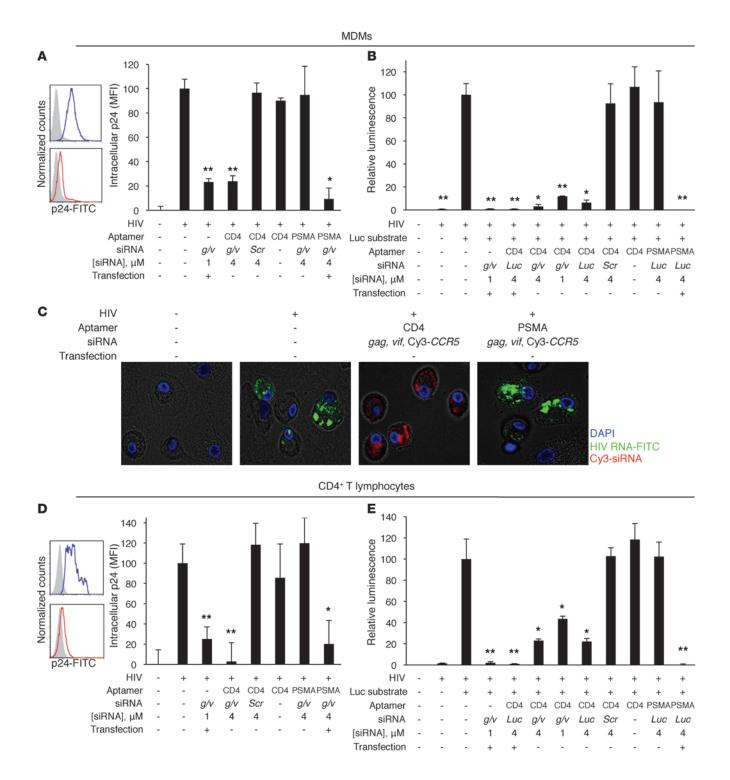
(Supplemental Figure 3), before annealing the antisense (active) siRNA strand. A chimera using an aptamer targeting PSMA (28) was synthesized as a binding control, while scrambled siRNA sequences controlled for gene silencing specificity. CD4-AsiCs engineered with one of the aptamers was consistently more effective than the other (clone 9 vs. clone 12; Supplemental Figure 1, B and C; data not shown; and ref. 33); therefore, unless otherwise indicated, clone 9 was used for subsequent experiments.

CD4-AsiCs are taken up by primary CD4+ cells in vitro. To test for siRNA uptake into CD4+ cells, primary monocyte-derived macrophages (MDMs) and CD4+T cells, freshly isolated from the blood of healthy donors, were incubated with CD4-AsiCs labeled with Cy3 at the 3' terminus of the antisense strand. CD4-AsiCs were efficiently and uniformly taken up by both MDMs (Supplemental Figure 4, A and B) and CD4⁺ T cells (Figure 1, B and C). PSMA-AsiCs were not internalized without transfection (complexation with cationic lipids for MDMs, electroporation for T cells). When added to resting PBMCs, Cy3-labeled CD4-AsiCs were selectively taken up by CD4+ monocytes and T cells, but only to a limited extent by CD8+ T cells (Figure 1D). The small subpopulation of circulating CD8+ T cells that took up the Cy3-labeled CD4-AsiCs may represent recently activated CD8+ T cells that express low levels of CD4 (data not shown). We also cannot rule out that staining might be due to cell surface binding without internalization. PSMA-AsiCs on their own were not internalized by any immune cells, except as lipoplexes. In that case, the fluorescent RNA, as expected, was internalized by CD14⁺ monocytes, but not by CD3⁺ lymphocytes, which are refractory to lipid-based transfection.

CD4-AsiCs knock down target gene expression in primary CD4+ cells in vitro in a dose-dependent manner. Our next goal was to evaluate CD4-AsiC-mediated gene silencing. Primary CD4+ cells were treated with CD4-AsiCs bearing a CCR5 siRNA, and CCR5 expression was quantified by flow cytometry. CCR5 was knocked down in both MDMs (Supplemental Figure 4, C and D) and CD4⁺ T cells (Figure 1, E and F). Gene silencing was specific: CCR5 expression was unchanged when chimeras containing a scrambled siRNA sequence or the PSMA aptamer were tested, or when cells were incubated with the CD4 aptamer alone. As expected, transfection of CCR5 PSMA-AsiCs knocked down CCR5 expression in both cell types. Although silencing in CD4⁺ T cells was uniform, we observed 2 populations of MDMs: knockdown was virtually complete in one, whereas CCR5 was only partially downmodulated in the other. These 2 populations were also seen with lipid transfection. The reason for this is unclear, but is unlikely to be secondary to differential uptake, since uptake of Cy3-labeled CD4-AsiCs was uniform in macrophages (Supplemental Figure 4B).

Dose-dependent uptake of Cy3-labeled CD4-AsiCs and CCR5 knockdown occurred in both HeLa cells expressing CD4 and CCR5 (data not shown) and primary MDMs (Supplemental Figure 5). Uptake and gene silencing in MDMs after 72 hours were confirmed by fluorescence microscopy, in which Cy3 uptake was found to coincide with CCR5 knockdown, and neither uptake nor gene silencing occurred in MDMs incubated with Cy3-labeled siRNAs on their own (Supplemental Figure 4E). CD4-AsiCs could be readily designed to silence other genes, including the nuclear envelope gene *lamin A* (Supplemental Figure 6), the pan-leukocyte marker *CD45* (Supplemental Figure 7), and the mitotic spindle gene *EG5* (data not shown). Dose-dependent silencing was restricted to CD4* cells, as assessed by immunoblot to measure protein and quantitative RT-PCR







CD4-AsiCs inhibit HIV replication in vitro. MDMs (A-C) and CD4+ T cells (D and E) were infected for 48 hours with HIV-1_{BaL} and HIV-1_{IIIb}, respectively, and then treated with CD4-AsiCs or PSMA-AsiCs bearing gag and vif(g/v) siRNAs. Scrambled siRNA chimeras and CD4 aptamers were controls. Transfection controls used OF (A and B) or nucleofection (D and E). (A and D) Intracellular p24 expression, relative to infected mock-treated cultures, was measured by flow cytometry 48 hours later. CD4-AsiCs inhibited HIV replication (mean ± SEM normalized to mock; n = 3; *P < 0.05, **P < 0.005, 2-tailed t test). Insets show representative histograms of MDMs and CD4+ T lymphocytes treated with gag and vif CD4-AsiCs (gray, uninfected; blue, infected mocktreated; red, infected CD4-AsiC-treated). (C) HIV infection was also evaluated by fluorescence in situ hybridization (original magnification, ×60) using FITC-labeled probes complementary to HIV genomic RNA. HIV RNA was virtually undetectable in MDMs treated with 4 μM total final concentration of CD4-AsiCs. (B and E) To evaluate gene silencing independently of the effect of the CD4 aptamer on blocking viral entry, HIV replication was assessed by infection with VSV(G)-pseudotyped virus containing a luciferase reporter gene. Primary MDMs and CD4+ T cells were pretreated for 48 hours before infection with 4-μM mixtures of CD4- or PSMA-AsiCs targeting gag and vif or containing scrambled siRNAs. Luciferase activity, measured 48 hours later, was significantly inhibited in cells treated with CD4-AsiCs directed against either viral or luciferase genes (mean ± SEM normalized to mock; n = 3; *P < 0.05, **P < 0.005).

(qRT-PCR) for mRNA. Based on these results, we expect that CD4-AsiCs could be used to manipulate expression of virtually any gene in human CD4⁺ immune cells.

CD4-AsiCs are Dicer substrates and are processed intracellularly into functional siRNAs that use the RNAi pathway to direct mRNA cleavage. To understand the mechanism of CD4-AsiC-mediated silencing, we first tested whether these chimeras are substrates for the endoribonuclease Dicer, which processes longer endogenous RNA precursors to short 20- to 25-nt RNAs as part of the RNAi pathway in the cell. When CD4-AsiCs bearing CCR5 siRNAs were incubated with recombinant Dicer, they were virtually completely digested to an expected approximately 21- to 23-nt cleavage product that migrated like a CCR5 siRNA (Supplemental Figure 8A). Treatment of primary CD4+ T cells with 32P-end-labeled CD4-AsiCs also resulted in their processing to an approximately 21- to 23-nt duplex RNA (Supplemental Figure 8B), which suggests that similar Dicer cleavage also occurs within cells. Gene silencing by CD4-AsiCs also depended on intracellular Dicer expression in HCT-116 cells, since knockdown of lamin A by CD4-AsiCs only occurred in WT cells, but not in *Dicer*-/- cells (Supplemental Figure 8C and ref. 35). To confirm that AsiC-mediated silencing was caused by siRNA-directed cleavage of target gene mRNA, modified 5'-rapid amplification of cDNA ends (5'-RACE; ref. 36) was used to analyze RNA isolated from primary MDMs treated with CCR5 CD4-AsiCs for CCR5 mRNA cleavage fragments (Supplemental Figure 9). An amplified CCR5 RNA fragment of the expected size was detected 72 hours after adding the CCR5 CD4-AsiCs. Sequencing of the amplified fragments confirmed that cleavage occurred 10 nt from the 5' end where the CCR5 antisense strand bound, at the expected site (37). Thus, we conclude that CD4-AsiCs are processed by Dicer to release functional siRNA duplexes that direct target mRNA cleavage via the RNAi pathway.

CD4-AsiCs inhibit HIV replication in primary CD4+ cells in vitro. Inhibiting HIV infection would be a stringent test of effective gene knockdown in CD4+ cells. We first tested whether treatment with a mixture of CD4-AsiCs against HIV gag and vif (Figure 2) or against

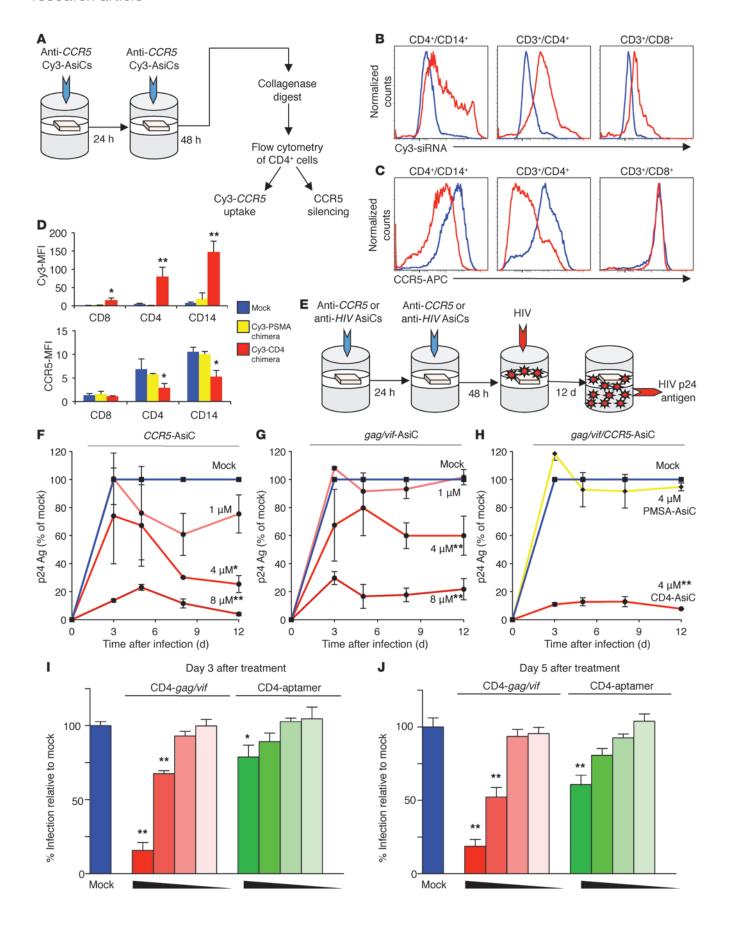
CCR5 (Supplemental Figure 10) could suppress viral replication in an established HIV infection. Primary cells were infected with HIV-1 48 hours before CD4-AsiC treatment. Viral production was assessed by flow cytometry analysis of intracellular p24 capsid Ag (p24-Ag) or by p24 Ag ELISA of the culture supernatant. CD4-AsiCs against viral genes inhibited HIV replication in MDMs and CD4* T cells (Figure 2, A and D). siRNAs against CCR5 also inhibited HIV infection in primary MDMs (Supplemental Figure 10), and siRNAs against viral genes inhibited infection in HeLa-CD4 and Jurkat cells in a dose-dependent manner (Supplemental Figure 11). There was no antiviral effect using chimeras containing PSMA aptamers or scrambled siRNAs. Viral inhibition and RNA internalization were confirmed by FISH for HIV RNA and Cy3 fluorescence, respectively, in MDMs treated with a cocktail of gag, vif, and Cy3-labeled CCR5 CD4-AsiCs, but not with a cocktail of PSMA-AsiCs (Figure 2C).

These CD4 aptamers bind CD4 principally via its V4 domain, but also partially block the receptor's V1 gp120-binding domain (33, 38). Therefore, CD4-AsiCs could inhibit HIV infection by 2 mechanisms: by blocking HIV entry via inhibition of HIV gp120 binding to CD4, or by knocking down either viral genes or host genes required for viral entry or replication. In fact, preinfection treatment of cells with CD4-AsiCs bearing scrambled siRNAs or with CD4 aptamers lacking an siRNA tail partially inhibited infection in HeLa-CD4 cells (data not shown), which suggests that blocking HIV binding to CD4 by the aptamer contributes to overall inhibition of HIV infection. To evaluate the gene silencing component of chimera-mediated HIV suppression on its own, we pretreated cells with AsiCs before challenging with a CD4-independent single-round VSV(G)-pseudotyped HIV-1 virus encoding a luciferase reporter gene. In both MDMs and CD4⁺ T cells, CD4-AsiCs bearing gag and vif or luciferase siRNAs significantly decreased luciferase activity compared with all control conditions (Figure 2, B and E). Therefore, the CD4-AsiCs encoding either viral or CCR5 siRNAs likely inhibit HIV infection by both blocking entry and gene knockdown.

CD4-AsiCs do not alter expression of lymphocyte activation markers. CD4-AsiCs might be a useful tool for genetic manipulation of hard-to-transfect CD4⁺ cells in order to study the effect of knocking down one gene at a time. Ideally, treatment with CD4-AsiCs should not alter CD4 surface expression or cause lymphocyte activation, which would confound interpretation of gene silencing experiments. Activation of CD4⁺ T cells by CD4-AsiCs would also make them undesirable for preventing or treating HIV infection, since activated T cells are more susceptible to infection. Since CD4-AsiCs contain a single receptor binding site and T cell activation requires receptor cross-linking, we would not expect CD4-AsiC treatment to activate the cells. To evaluate this, uninfected CD4+ T cells treated with CD4-AsiCs directed against exogenous viral genes were assayed by flow cytometry over 2 days for changes in surface expression of CD4 and other markers of lymphocyte activation. Neither CD4 nor other cell surface receptors (CD3, CD45, CD25, and CD69) were significantly changed compared with mock-treated controls (Supplemental Figure 12).

CD4-AsiCs inhibit HIV replication in polarized cervicovaginal explants. To assess whether CD4-AsiCs can penetrate the vaginal epithelium and specifically knock down gene expression in CD4⁺ cells in intact human tissue, we treated the apical surface of polarized agarose-embedded human cervicovaginal explants, obtained from normal hysterectomy specimens (39, 40), with Cy3-labeled CCR5 CD4-AsiCs twice in a 24-hour interval. Cy3 fluorescence and CCR5 expression were measured in isolated CD4⁺ and CD8⁺ T cells and in CD14⁺







CD4-AsiCs inhibit HIV replication in human cervicovaginal explants. (A-D) Cy3-labeled CD4-AsiCs or PBS was applied to the epithelium of agarose-embedded polarized explants 72 and 48 hours before flow cytometry of single-cell suspensions analyzed for Cy3 uptake (B) and CCR5 knockdown (C). Uptake was highest in CD3+CD4+ T cells and CD4+CD14+ macrophages, and CCR5 knockdown occurred only in CD4+ populations. (**D**) MFI (mean ± SEM normalized to mock; *P < 0.05, **P < 0.01, 2-tailed t test). Data from quadruplicate samples from 1 donor are representative of results from 3 donors. (E-H) HIV challenge experiment. Polarized vaginal explants from 3 donors were pretreated with indicated total concentrations of CD4-AsiCs targeting CCR5 (F), gag and vif (G), or all 3 genes (H) for 48 hours before HIV-1_{Bal} challenge. Viral replication, measured by p24 Ag ELISA of the lower transwell culture medium, showed specific dose-dependent inhibition by CD4-AsiCs against CCR5 (F) or gag and vif (G) compared with mocktreated controls. At the same total concentration, AsiCs against CCR5 and gag and \emph{vif} (H) was more effective than either $\emph{CCR5}$ or viral CD4-AsiCs alone. PSMA-AsiCs did not inhibit viral replication. Shown are p24 Ag levels (mean \pm SEM normalized to mock; *P < 0.05, **P < 0.01, 2-tailed t test). (I and J) The CD4 aptamer was compared with the gag and vif chimera by treating vaginal explants with serial 2-fold dilutions (1-8 µM) of each. p24 Ag ELISA was measured and normalized as above. Data are representative of independent experiments from 2 donors, performed in quadruplicate (*P < 0.05, **P < 0.0001, 1-way ANOVA with Dunnett multiple-comparison test).

macrophages by flow cytometry 2 days after the second treatment (Figure 3, A–D). Cy3-labeled CD4-AsiCs were specifically taken up by CD4⁺ T cells and macrophages in situ and uniformly knocked down CCR5 expression. Despite nominal uptake by CD8⁺ T cells, no gene silencing was observed in this population. Neither uptake nor CCR5 silencing was seen in tissues treated with PSMA-AsiCs (Figure 3D). Topical application of CD4-AsiCs encoding a *CD45* siRNA to explants also specifically knocked down CD45 expression only in CD4⁺ T cells and macrophages (data not shown).

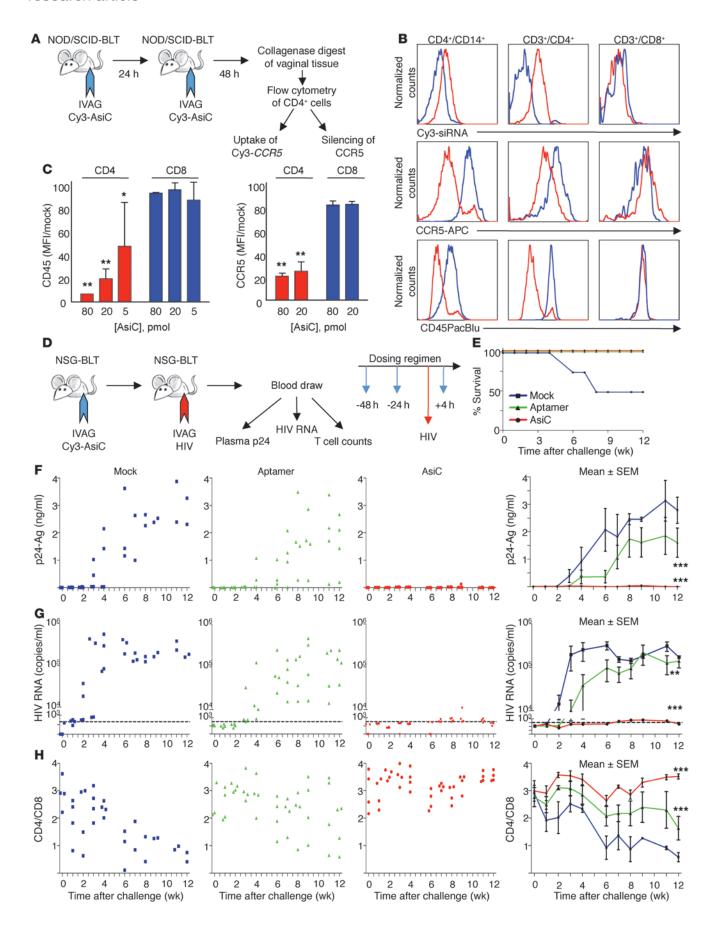
To determine whether antiviral CD4-AsiCs can block HIV transmission in intact vaginal tissue, the epithelial surface of polarized explants was treated twice with CD4-AsiCs containing siRNAs targeting CCR5, gag and vif, or all 3 genes before challenge with HIV_{BaL} 48 hours later. Mucosal viral replication, as assessed by p24 Ag ELISA of the explant culture medium, was inhibited by CD4-AsiC treatment in a dose-dependent manner (Figure 3, F-H). The triple cocktail administered at the same total concentration was more effective than the CCR5 or the antiviral CD4-AsiCs alone. A triple cocktail of PSMA-AsiCs did not inhibit HIV transmission to the tissue. To assess the antiviral contribution of the CD4 aptamer, we compared viral inhibition by the CD4 aptamer and the CD4-AsiCs encoding gag and vif siRNAs in a dose response study. The CD4 aptamer on its own inhibited HIV transmission in situ, but was about 2- to 4-fold less effective than the CD4-AsiCs (Figure 3, I and J), which suggests that although blocking CD4 binding contributes to HIV inhibition, the major antiviral activity of the CD4-AsiC is due to gene knockdown.

CD4-AsiCs do not trigger an innate immune response in human cervicovaginal tissue. Depending on their sequence, concentration, chemical modifications, and delivery vehicle, siRNAs can trigger innate immune sensors that recognize foreign nucleic acids or cause cytotoxicity and tissue damage (41). Moreover, the demonstrated antiviral effect could be a side effect of *IFN* induction by TLR or RIG-I activation. To examine whether CD4-AsiCs have any adverse or unanticipated off-target effects, we treated polarized human explants with gag and vif CD4-AsiCs at the same concentration that inhibited HIV inhibition (4 µM) and evaluated tissue injury and inflammation by hematoxylin and eosin staining, cytotoxicity by LDH release, and induction of innate immune response genes (Supplemental Figure 13). The explants were treated with poly(I:C) as a positive control and mock treated as a negative control. There was no evidence of tissue injury or inflammation or cytotoxicity. Moreover, CD4-AsiCs did not induce IFNB or IFNG, inflammatory cytokines (IL6, IL8, and IL12), or IFN-responsive genes (IP-10, OAS1, and STAT1), as measured by sensitive qRT-PCR of RNA extracted from whole tissue harvested at their expected peaks, 6 and 24 hours after treatment. Contrary to a previously published report (28), PSMA-AsiCs stimulated IFNB expression at 6 hours and IP-10 at 24 hours, likely reflecting the higher sensitivity of qRT-PCR relative to ELISA for measuring cytokine induction.

CD4-AsiCs suppress target gene expression in CD4+ cells in vivo. To validate the antiviral properties of CD4-AsiCs in vivo, we used the BLT humanized mouse model (42). A mixture of CD4-AsiCs bearing CD45 siRNAs and Cy3-labeled CCR5 siRNAs were applied IVAG to NOD/SCID-BLT mice, 72 hours and 48 hours prior to sacrifice, each at doses ranging from 5 to 80 pmol (Figure 4A). To assess cell-specific siRNA delivery and gene knockdown in vivo, Cy3 fluorescence and CD45 and CCR5 expression in subpopulations of CD4+ and CD8+ cells were evaluated by flow cytometry of singlecell suspensions of vaginal tissue and compared with mock-treated control mice (Figure 4, B and C). Cy3 fluorescence uptake was uniform in CD3+CD4+ T cells and CD14+CD4+ tissue macrophages, but was absent in tissue CD3⁺CD8⁺ T cells. Dose-dependent CCR5 and CD45 knockdown was observed in CD4+ T cells, but not in CD8+ T cells. At the highest CD4-AsiC dose, cell surface CD45 and CCR5 MFI in CD4⁺ T cells was reduced 12- and 5-fold, respectively, compared with mock-treated controls.

Topically applied CD4-AsiCs inhibit vaginal transmission of HIV to humanized mice. To test whether topically applied CD4-AsiCs protect humanized mice from vaginal HIV challenge, 4 NSG-BLT mice were treated with a combination of CD4-AsiCs targeting CCR5, gag, and vif prior to IVAG challenge with 105-TCID₅₀ HIV_{IR-CSF} (Figure 4D), a viral dose that uniformly infects control mice (data not shown and refs. 43, 44). The CCR5 AsiCs were administered 48 and 24 hours prior to challenge, and the viral gene-targeting AsiCs were administered 24 hours before and 4 hours after IVAG challenge. We treated 4 mice with equivalent doses of CD4 aptamers lacking siRNA conjugates and 4 mice with PBS using the same dosing regimen. In the 12 weeks of observation after challenge, all the aptamer- and AsiC-treated mice survived, while 2 of the mock-treated mice died (Figure 4E). Whereas all the control and aptamer-treated mice became infected and had detectable HIV p24 antigenemia 2-4 weeks after infection, none of the CD4-AsiC-treated mice developed detectable p24 antigenemia (Figure 4F). Plasma viral burden, assessed by sensitive qRT-PCR assay for HIV gag mRNA, was detected at very low levels and only episodically several months later in the 2 of 4 CD4-AsiC-treated mice that became infected, a significant reduction compared with the mock-treated or aptamer-treated animals (Figure 4G). All the control mice and 2 of the 4 aptamertreated mice showed dramatic depletion of circulating CD4⁺ T cells, whereas all mice treated with CD4-AsiCs and the other 2 aptamer-treated mice maintained relatively normal CD4 counts and stable CD4/CD8 ratios (Figure 4H and Supplemental Figure 14). Although we did not directly determine the cause of death of the mock-treated mice, the profound depletion of their circulating







CD4-AsiCs applied IVAG inhibit vaginal HIV transmission to humanized BLT mice. (A-C) NOD/SCID-BLT mice (2 per group) were treated twice IVAG with the indicated 5-80 pmol mixture of CD4 chimeras bearing Cy3-labeled CCR5 siRNA and CD45 siRNA. 2 days later, a single-cell suspension extracted from vaginal tissue was analyzed by flow cytometry for Cy3-labeled siRNA uptake and target gene knockdown. (B) Representative histograms (blue, mock; red, 80-pmol dose). (C) MFI of CD4+ T cells in the vaginal tissue of AsiC-treated mice showed a dose-dependent decrease of both CD45 and CCR5 expression relative to mock-treated controls, but the MFI of CD8+ T cells did not change (mean \pm SEM; *P < 0.01, **P < 0.001, 1-way ANOVA with Dunnett multiple-comparison test). (D) HIV challenge experiment. NSG-BLT mice were treated IVAG with CCR5 CD4-AsiCs 48 hours (80 pmol) and 24 hours (40 pmol) before, and 40 pmol each of gag and vif chimeras 24 hours before and 4 hours after, IVAG challenge with HIV_{JR-CSF}. CD4-AsiC treatment was compared with treatment with the same concentration of CD4 aptamer or mock treatment with PBS (n = 4 per group). Mice were observed for 12 weeks after HIV challenge. Shown is the effect of treatment on (E) survival; (F) plasma HIV Ag, assessed by ELISA; (G) plasma HIV RNA, analyzed by qRT-PCR (dashed line denotes limit of detection); and (H) circulating CD4+/CD8+ T cell ratio. Data are mean \pm SEM. **P < 0.001, ***P < 0.0001 vs. mock, 2-way ANOVA with Bonferroni correction.

CD4+ T cells in the 2 weeks prior to death may have been responsible. Thus, topical application of a mixture of CD4-AsiCs targeting *CCR5* and HIV genes provided protection against vaginal challenge. Although the detection of plasma viremia and development of CD4+ T cell depletion were delayed in the aptamer-treated mice, their viral load was not significantly less than in mock-treated mice by the end of the observation period. Therefore, the CD4 aptamer, which inhibits HIV from binding to CD4, was substantially less effective than the chimera, which can both block binding and suppress entry and viral replication by gene knockdown.

Discussion

Delivery remains a significant obstacle to the clinical development of siRNA-based drugs. Although cholesterol-conjugated siRNAs silence gene expression without apparent toxicity in mucosal epithelial cells and can be used to prevent HSV-2 transmission (24), this approach would not inhibit HIV transmission, since cholesterol-conjugated siRNAs do not transfect the cells that HIV infects. siRNAs can be delivered into immune cells by receptor-mediated endocytosis, by either complexing siRNAs to antibody fusion proteins or encapsulating siRNAs into liposomes or other nanoparticles bearing targeting antibodies or ligands to cell surface receptors (25-27, 45). Here we demonstrated that an alternate approach chimeric RNAs composed of an aptamer linked to an siRNA delivered siRNAs and knockdown target gene expression specifically in primary CD4+ T cells and macrophages, regardless of activation state, in vitro, in intact human explants and in humanized mice. Importantly, the CD4-AsiCs were able to inhibit vaginal HIV transmission to humanized mice. Although only 2 of 4 CD4-AsiC-treated mice maintained undetectable virus throughout the 12-week period, the transient viremia, detected only after 7-9 weeks after infection, was just above the limit of detection. All CD4-AsiCtreated mice showed preserved T cell counts, and none had measurable antigenia at any time. These promising results were achieved without any optimization of the CD4-AsiCs for CD4 binding or gene silencing sequences, using an extremely high challenge virus dose that gave uniform infection of control mice. It may be easier to prevent sexual HIV transmission in humans, which is very inefficient, requiring hundreds of exposures for each transmission event, and where usually only a single virion is able to establish a foothold in the host (46). Protection was achieved here with a highest dose of approximately 0.2 mg/kg (120 pmol), which is a feasible dose for small RNA drugs, but which might be reduced even further by drug optimization. Future studies in humanized mice will evaluate how long gene silencing in the genital tissue and protection lasts in order to determine whether RNAi-based microbicides could be intermittently dosed with acceptable compliance.

Targeted delivery has the potential dual advantage of reduced toxicity to bystander cells and reduced effective dose. What delivery approach is preferable for clinical or research purposes is difficult to determine ab initio and may depend on the application. Chimeric RNAs have the advantage of being a single molecule rather than a complex mixture, are less likely to be immunogenic than proteins, and are more straightforward to purify and less costly to produce than RNAs that need to be formulated with proteins, nanoparticles, or liposomes. CD4-AsiCs were shown to knock down 2 viral genes, 1 transgene (luciferase), and 4 host genes (CCR5, CD45, lamin A, and EG5) and can likely be designed to inhibit the expression of any gene. The kinetics of target gene suppression may differ between targets, depending on target gene mRNA and protein stability. For example, CCR5 and CD45 surface protein expression was not appreciably reduced until 72 hours after CD4-AsiC treatment. However, mRNA levels of these genes, when measured by qRT-PCR, declined within a day.

This study builds on previous studies that used intravenous injection of PSMA-AsiCs to transfect human prostate cancer cells in an orthotopic mouse tumor model (28, 29) or that used HIV gp120-AsiCs to transfect HIV-infected CD4+ cells in vitro and in vivo (30-32). Based on these studies, the CD4-AsiCs developed here likely could be used for systemic gene silencing in circulating immune cells. If CD4-AsiCs perform as well as we expect, they may be a potent tool for genetic manipulation (which has been so powerful for understanding mouse immunology by use of knockout mice) to study the role of individual molecules in complex human immune responses in humanized mice in vivo. Because CD4-AsiCs do not appear to perturb CD4 cell surface expression or alter other immune receptors that are sensitive indicators of immune activation, it should be possible to test the effect of knocking down one gene product at a time. The lack of cellular toxicity of CD4-AsiCs might also make them an attractive alternative to electroporation for in vitro transfection of CD4⁺ T cells. The clone 9 aptamer also recognizes rhesus macaque CD4, and the corresponding CD4-AsiC knocks down gene expression in rhesus PBMCs in vitro (L.A. Wheeler, unpublished observations), which suggests that CD4-AsiCs could also be used to study SIV or SHIV infection and immune responses in nonhuman primates.

The micromolar concentrations of CD4-AsiCs used here for in vitro gene knockdown and HIV infection inhibition studies are somewhat higher (about 2–10 times more) than those published in some previous reports (3, 24, 28, 31). The higher concentration could be due to differences in target cell type. The CD4-AsiCs have not been optimized, and improvements in CD4-AsiC design or synthesis could increase silencing efficiency. Modifications might include optimizing the aptamer or siRNA sequence, altering the linker joining the aptamer to the siRNA, interchanging the 2 siRNA strands, or replacing the double-stranded siRNA with a stem-loop that mimics endogenous miRNA structures. Some of these changes

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have been used in earlier studies, where the optimal AsiC construct depended on the particular siRNA sequence (29, 31). Studies are in progress to understand how CD4-AsiCs are taken up and delivered to the cytosol, where they interact with the endogenous RNAi machinery. To optimize CD4-AsiC design, it will be helpful to compare not only the efficiency of gene silencing, but also the efficiency of intracellular processing to the mature siRNA and its incorporation into the RNA-induced silencing complex. It may also be helpful to shorten the construct to make chemical synthesis more practical. We plan to test whether any part of the aptamer sequence can be deleted without losing binding affinity. Another approach would be to synthesize the aptamer and siRNA separately, using complementary adapter sequences to join them (30, 31).

Despite the high concentrations required in vitro, CD4-AsiC-mediated in vivo silencing and protection from HIV infection required significantly lower doses (about 7-25 times less) than were used to inhibit HSV-2 transmission with lipoplexed or cholesterol-conjugated siRNAs (23, 24). The stability of the CD4-AsiCs over 36 hours in human vaginal fluid suggests that further stabilization for topical use may not be required. However, the in vivo half-life in the blood and other body fluids and within cells, as well as the efficiency and durability of gene knockdown, might be improved by further chemical modifications, such as introduction of 2'-OCH₃ to purines on the active strand. For systemic use, chemical conjugation to cholesterol or polyethylene glycol might also improve circulating half-life (29, 36).

The pathways used to deliver RNAs into cells, whether by aptamers or by other delivery methods, remain poorly understood. Our preliminary studies suggest that Cy3-labeled CD4-AsiCs are initially taken up into early endosomes and then escape to the cytosol. Endocytosis might be triggered by activation of the CD4 receptor by aptamer binding or occur via the continuous basal internalization of cell surface receptors. The latter pathway may be more likely, since CD4 cell surface expression is not appreciably altered by CD4-AsiC treatment and since CD4-AsiCs are monomeric and are not expected to crosslink the receptor. Although lack of perturbation of CD4 surface expression would be ideal for using CD4-AsiCs as a research tool, a divalent or polyvalent reagent that activates temporary CD4 internalization might also have advantages for HIV prevention or therapy. This might add a third mechanism for inhibiting HIV cellular transmission (removal of the viral receptor from the cell surface) to the other 2 mechanisms demonstrated in the present study (gene silencing and partially blocking the virion binding site on CD4). Once in the endosome, how the RNA is released into the cytosol is unknown. Understanding the uptake and trafficking within cells of CD4-AsiCs will undoubtedly facilitate design improvements.

Methods

Chimera synthesis. Aptamer-siRNA chimera synthesis was modified from previously described methods (28, 33). Primers and template DNA (Supplemental Table 1) were commercially synthesized (IDT). RNA intermediates, transcribed in vitro using Epicentre's DuraScribe kit, were resolved on 15% dPAGE gels (Invitrogen) and eluted into either buffer A for in vitro studies (1% LiClO₄, 7 mM triethylamine; Sigma-Aldrich) or buffer B for in vivo studies (10 mM Tris, pH 7.5–8.0, 50 mM NaCl, 1 mM EDTA) prior to ethanol precipitation and desalting using a G25 column (GE). RNAs were mixed in a 1:1 molar ratio with commercially synthesized active siRNA strands (Dharmacon), heated to 90°C, and allowed to cool slowly to room temperature. In some cases, the active strand was synthesized with a Cy3 label at its 3′ end.

Vaginal stability assay. 2 nmol CCR5 CD4-AsiC synthesized using 2'-fluoro-pyrimidines, chemically stabilized cholesterol-conjugated CCR5-siRNA, and unmodified 21-mer CCR5 siRNA in 100 μl PBS were added to 100 μl of vaginal fluid obtained from a healthy preovulatory donor. At regular intervals, 20 μl was removed, resuspended in TRIzol reagent (Invitrogen) for RNA extraction, and frozen at –80°C prior to resolution by PAGE analysis 24 hours after the final collection time point. RNA content was analyzed by densitometry, and amounts were calculated relative to RNA content at time 0.

Cell lines. HeLa, Jurkat, and K562 cells (ATCC) were cultured as previously described (8, 25). HeLa-CD4 and HeLa-MAGI CCR5 cell lines, obtained from the NIH AIDS Reagent Program, were maintained in DMEM supplemented with 0.5 mg/ml G418. HCT-116 cells (ATCC) were cultured according to the supplier's instructions. Dicer--- HCT-116 cells were a gift of B. Vogelstein (Johns Hopkins Medical Institutions, Baltimore, Maryland, USA; ref. 35).

Primary cells. Primary cells from the blood of healthy donors were isolated by Ficoll (GE) density centrifugation and cultured in H10 medium (RPMI 1640 [Cellgro] containing 10% Human AB Serum [GemCell], 100 U/ml penicillin, and 100 μ g/ml streptomycin sulfate). In some cases, CD4+ cells were separated using immunomagnetic beads (Miltenyi). CD4+ T cells and MDMs were prepared as previously described (8). CD4+ T cells were cultured in H10 containing 60 IU/ml IL-2 and were activated using 4 μ g/ml PHA (Difco). Resting PBMCs were cultured in H10 containing 4 μ g/ml IL-15.

Viruses. HIV $_{BaL}$ and HIV $_{IIIB}$ virus were obtained from the NIH AIDS Reagent Program. HIV $_{BaL}$ was generated by infecting pooled PBMCs that had been stimulated with PHA (4 $\mu g/ml$) in H10 plus 60 IU/ml rIL-2 (Chiron). HIV $_{IIIB}$ was propagated as previously described (47). p24 Ag levels in culture supernatants were measured by HIV-1 p24 Antigen ELISA kit (Perkin Elmer). VSV(G)-pseudotyped HIV-Luc (provided by A. Engelman; Dana-Farber Cancer Institute, Boston, Massachusetts, USA) was generated in 239T cells as previously described (48). Viral stocks of the HIV-1 $_{JR-CSF}$ molecular clone were produced through transfection of HEK293 cells as previously described (49). Supernatant virus was concentrated 1:50 using the PEG-it Virus Precipitation Solution (System Biosciences) per the manufacturer's protocol.

HIV-1 infection in vitro and in situ. Cells were infected with the indicated HIV-1 isolates using an MOI of approximately 1. Infection of Jurkat and CD4+ T cells was by spinoculation at 1,200 g for 2 hours in the presence of 2 mg/ml Polybrene. HeLa-CD4 and MDM cells were infected by incubating the cells with virus at 37°C for 48 hours. Cells were infected with the single-round VSV(G)-pseudotyped virus for 6–8 hours at 37°C, washed, and incubated again at 37°C in fresh media for approximately 48 hours prior to analysis as previously described (48). For HIV infection of polarized explants, HIV_{BaL} (~100 ng p24) was applied to the apical surface of agarose-embedded explants in 200 µl, and the explants were then incubated at 37°C. Viral replication in the tissue was assessed by measuring p24 Ag in the lower transwell chamber using the HIV-1 p24 Antigen ELISA kit (Perkin Elmer).

siRNA transfection. HeLa-CD4 and MDM cells were transfected with Oligofectamine per the manufacturer's protocol. CD4⁺ T cells, Jurkat cells, and K562 cells were transfected by AMAXA according to the manufacturer's protocol (Lonza). See Supplemental Table 2 for all siRNA sequences.

Flow cytometry. Direct immunostaining of CD3, CD4, CD8, CD14, CD45, and CCR5 was performed using 1:20 dilutions of murine mAb for 30–60 minutes at 4°C (BioLegend). Cells were stained in PBS containing 0.5% FCS, 1 mM EDTA, and 25 mM HEPES. Samples were washed twice in the same buffer. Data for 1- and 2-color staining experiments were acquired using FACSCalibur (BD Biosciences); for multicolor experiments, data were acquired using FACS-Canto II (BD Biosciences). All data analysis was performed using FlowJo (Treestar Inc.).



Fluorescence microscopy. Fluorescence microscopy was performed as previously described (24) using primary antibodies (BioLegend) and secondary donkey anti-mouse antibodies (Invitrogen). All images shown were acquired using a ×60 oil objective.

Intracellular p24 staining. Intracellular staining, performed as previously described (8), was analyzed on a FACSCalibur with Cell Quest software (Becton Dickinson) and/or FlowJo software. The p24 MFI was normalized relative to the mock-treated control.

Immunoblot. Total cell extracts were prepared in RIPA buffer (50 mM Tris-HCl pH 7.4, 150 mM NaCl, 1 mM EDTA, 1% Triton X-100, 1% sodium deoxycholate, 0.1% SDS). Protein concentration was measured using the BCA Protein Assay Reagent (Pierce), and 10 μg of total protein was resolved on 10% SDS-PAGE, transferred to cellulose membranes (Immobilon-P, Millipore), and probed with mouse mAb against lamin A (BioLegend). The membranes were developed using SuperSignal West Femto (Pierce). For loading control, 10 μg of total protein was resolved on 10% SDS-PAGE and probed using anti-tubulin mouse mAb (Sigma-Aldrich).

In vitro Dicer cleavage assay. Recombinant Dicer cleavage assay was performed using the Turbo Dicer siRNA Generation Kit (Genlantis) according to the manufacturer's protocol.

5'-RACE and sequence analysis. 5'-RACE was performed using the First Choice RLM-RACE Kit (Ambion) according to the manufacturer's protocol, modified to use 45 cycles of amplification for the nested PCR reaction. The nested PCR product was cloned using standard M13 and T7 primers into the PGEM-T Easy vector (Promega) for sequencing at the Dana-Farber Harvard Cancer Center Sequencing Core (Boston, Massachusetts, USA).

Luciferase assay. The luciferase activity of VSV(G)-pseudotyped reporter virus-infected samples was measured 48 hours after transfection using the Luciferase Assay System (Promega) and a Top count NXT microplate reader (Perkin Elmer) per the manufacturer's instructions. Background luminescence was subtracted from all values, and data were normalized relative to mock-treated controls.

qRT-PCR. qRT-PCR was performed as previously described (23) using primers in Supplemental Table 3. mRNA expression was normalized to *GAPDH*, then calculated as a percentage relative to mock-treated controls.

Human cervical polarized tissue explants. Human cervical tissue was obtained from healthy human donors undergoing hysterectomy for benign conditions. Tissue was immediately sectioned into approximately 3-mm³ specimens and then oriented with the apical epithelial surface facing up on the membrane of a 12-transwell system (Corning). Explants were then embedded in 3% agarose as described previously (40), and the integrity of the agarose seal was tested using Trypan blue. The polarized explants were cultured in 200 μl H10 medium. Cy3-labeled AsiCs in 50 μl Optimem (Invitrogen) were applied to the apical surface, and the explants were then incubated at 37°C for 4-6 hours before adding 150 μl H10 to each well. The treatment was repeated 24 hours later, then the tissue was incubated for an additional 48 hours at 37°C prior to collagenase digestion and analysis. All tissues were obtained anonymously and were considered exempt from informed consent. Approval was obtained from the IRBs of Massachusetts General Hospital and Brighan and Women's Hospital. Human tissues from Beth Israel Deaconess Medical Center were deemed exempt from IRB approval. E. Oliva (Massachusetts General Hospital, Boston, Massachusetts, USA) provided some of the human samples.

Collagenase digestion of human and mouse vaginal tissue. 48 hours after the second application of RNA, specimens were removed from the transwell. 10 polarized explants per treatment condition were pooled and digested in 10 ml RPMI containing 1 mg/ml collagenase II (Sigma-Aldrich) for 30 minutes at 37°C with shaking. Samples were disrupted in a gentleMACS dissociator (Miltenyi) using the C.01 program for 30 minutes at 37°C both before and after collagenase digestion. Cell suspensions were

passed through a 70-µm cell strainer (BD Falcon), washed with 30 ml RPMI, and stained for flow cytometry.

Hematoxylin and eosin staining. Explants treated for 24 hours with 4 μ M AsiCs were fixed in 3.7% formalin (Sigma-Aldrich) and paraffin embedded. 5- μ m tissue sections were stained with hematoxylin and eosin (ThermoFisher Scientific). Images were acquired using a Zeiss Axiovert 200M microscope and Slidebook software (Intelligent Imaging).

Cytotoxicity assay. Cellular cytotoxicity was quantified by measuring LDH release into the culture medium using the Roche LDH assay according to the manufacturer's instructions. Briefly, $100~\mu l$ of culture medium harvested 24 hours after treatment was mixed with $100~\mu l$ LDH reaction mix and incubated for 30 minutes at room temperature. Absorbance at 490 nm was measured using a Spectra MAX 340PC microplate counter (Molecular Devices) and normalized to absorbance at 650 nm. Culture medium from tissue treated with 1% Triton X-100 (ThermoFisher Scientific) served as a positive control.

IFN and cytokine induction. An equimolar mixture of CD4-AsiC or PSMA-AsiC targeting gag and vif (total concentration 4 μ M) was applied to the apical surface of the explant, and RNA was extracted using TRIZOL reagent (Invitrogen) 6 and 24 hours later. Treatment with poly(I:C) (50 μ g/ml; Invivogen) served as a positive control. qRT-PCR was performed as above (see Supplemental Table 3 for primers). mRNA expression was normalized to GAPDH, then normalized relative to mock-treated controls.

In vivo treatment and HIV challenge. All in vivo experiments were performed using immunodeficient mice bearing human bone marrow (either NOD/ SCID or NSG) following reconstitution with CD34+ cells from human fetal liver and surgical human thymic graft (i.e., BLT mice) as previously described (42). Uptake and silencing was assessed in NOD/SCID-BLT mice following 2 IVAG treatments of Cy3-labeled AsiCs, at the indicated doses, 72 hours and 48 hours prior to sacrifice. Vaginal tissue was extracted, and a single-cell suspension was isolated by collagenase digestion and stained as described above. HIV protection was assessed in NSG-BLT mice treated IVAG (a) 48 hours before challenge with 10 μ l PBS containing 80 pmol CCR5 CD4-AsiCs; (b) 24 hours before challenge with 10 µl PBS containing 40 pmol each of CCR5, gag, and vif CD4-AsiCs; and (c) 4 hours after challenge with 10 µl PBS containing 40 pmol each of gag and vif CD4-AsiCs. Female mice were challenged with atraumatic IVAG instillation of 105- $TCID_{50}\,HIV_{JR\text{-}CSF}$ in 10 μl PBS as previously described (44). Animal work was approved by the Animal Care and Use Committees of Massachusetts General Hospital and Harvard Medical School.

Analysis of HIV infection. Blood was obtained by venipuncture at weekly intervals for 12 weeks after HIV challenge. Cells were pelleted by centrifugation, and plasma was stored at -80°C until analysis. Cell pellets were twice treated with rbc lysis buffer (Sigma-Aldrich), washed with flow cytometry buffer described above, and stained for CD3, CD4, and CD8. Viral RNA was extracted from 75 µl plasma using the QiaAmp Viral RNA kit (QIAGEN) according to the manufacturer's instructions. cDNA was reverse transcribed using SuperscriptIII (Invitrogen) and HIV-gag-specific primers (Supplemental Figure 2) according to the manufacturer's protocol. qRT-PCR was performed as described above. The remaining serum was aliquoted for p24 Ag ELISA (Perkin Elmer), performed according to the manufacturer's instructions.

Statistics. Data for most experiments were analyzed by Student's t test. All P values are for 2-tailed significance tests. For analysis of data based on independent experiments using samples from multiple donors, 1-way ANOVA with Dunnett multiple-comparison test was performed using GraphPad Prism (GraphPad Software). Assessment of HIV infection was by 2-way ANOVA with Dunnett multiple-comparison test. P values less than 0.05 were considered significant. The limit of detection was calculated using a previously described method (50) and is shown as the average of the calculated limit of detection for each individual assay.

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Acknowledgments

This work was supported by the NIAID (NIH grants AI070302 and AI090671), Massachusetts Life Sciences Center (to J. Lieberman), Harvard CFAR and Ragon Institute (to J. Lieberman, A.D. Luster, and A.M. Tager), predoctoral fellowships from the Cancer Research Institute and the Ryan Foundation (to L.A. Wheeler), a Point Foundation scholarship (to L.A. Wheeler), and a Harvard CFAR Scholar award (to R. Trifonova). We thank members of the Lieberman laboratory, N. Barteneva, K. Ketman, M. Manoharan, K. Charisse, C.M. Boutwell, S. Biswas, and P.T.N. Sarkis for scientific advice; E. Oliva for

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providing human tissue samples; H.S. Shin and S. Brooks for technical assistance; and the NIH AIDS Reagent Program, A. Engelman, and B. Vogelstein for reagents.

Received for publication November 22, 2010, and accepted in revised form March 30, 2011.

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Supplementary Table 1: Primers for AsiC Synthesis

5' Primers	
5' T7 Primer CD4	5' - TAA TAC GAC TCA CTA TAG GGA GAC AAG AAT AAA CGC - 3'
5' T7 Primer A10	5' - TAA TAC GAC TCA CTA TAG GGA GGA GGA CGA TGC GGA -
	3'
Template DNA	
CD4 Clone 9 Aptamer	5' - GGG AGA CAA GAA TAA ACG CTC AAT GAC GTC CTT AGA
	ATT GCG CAT TCC TCA CAC AGG ATC TTT TCG ACA GGA GGC
	TCA CAA CAG GC - 3'
CD4 Clone 12 Aptamer	5' - GGG AGA CAA GAA TAA ACG CTC AAG TGA CGT CCT GAT
	CGA TTG TGC ATT CGG TGT GAC GAT CTT TCG ACA GGA GGC
	TCA CAA CAG GC - 3'
PSMA A10 Aptamer	5' - GGG AGG ACG ATG CGG ATC AGC CAT GTT TAC GTC ACT
	CCT TGT CAA TCC TCA TCG GCA GAC TCG CCC GA - 3'
3' Primers	
3' No siRNA Primer	
CD4	5' – GCC TGT TGT GAG CCT CCT GTC GAA - 3'
3' No siRNA Primer A10	5' - TCG GGC GAG TCG TCG TCT GCC GAT G - 3'
3' Scrambled siRNA	5' - AAT TCT CCG AAC GTC TCA CGT GCC TGT TGT GAG CCT
CD4	CCT GTC GAA - 3'
3' Scrambled siRNA	5' - AAT TCT CCG AAC GTC TCA CGT TCG GGC GAG TCG TCG
A10	TCT GCC GAT G - 3'
3' CCR5 Primer CD4	5' - AAT TTC GAC ACC GAA GCA GAG GCC TGT TGT GAG CCT
	CCT GTC GAA - 3'

3' CCR5 Primer A10	5' - AAT TTC GAC ACC GAA GCA GAG TCG GGC GAG TCG TCG
	TCT GCC GAT G - 3'
3' <i>lamin</i> Primer CD4	5' - AAT GTT CTT CTG GAA GTC CAG GCC TGT TGT GAG CCT
	CCT GTC GAA - 3'
3' <i>lamin</i> Primer A10	5' - AAT GTT CTT CTG GAA GTC CAG TCG GGC GAG TCG TCG
	TCT GCC GAT G - 3'
3' gag Primer CD4	5' - AAC CTG TCT CTC AGT ACA ATC GCC TGT TGT GAG CCT
	CCT GTC GAA - 3'
3' gag Primer A10	5' - AAC CTG TCT CTC AGT ACA ATC TCG GGC GAG TCG TCG
gag	TCT GCC GAT G - 3'
3' vif Primer CD4	5' - AAG GGA TGT GTA CTT CTG AAC GCC TGT TGT GAG CCT
	CCT GTC GAA - 3'
3' vif Primer A10	5' - AAG GGA TGT GTA CTT CTG AAC TCG GGC GAG TCG TCG
	TCT GCC GAT G - 3'
3' EG5 Primer	5'- AAA TTG TCT TCA GGT CTT CAG GCC TGT TGT GAG CCT CCT
5 250	GTC GAA - 3'
3' CD45 Primer	5' – AAT GCT CTG AAA TTC AGC CAG GCC TGT TGT GAG CCT
	CCT GTC GAA - 3'
3' Luciferase Primer	5'-AAT CGA AGT ACT CAG CGT AAG GCC TGT TGTGAGCCTCCT
	GTCGAA -3'

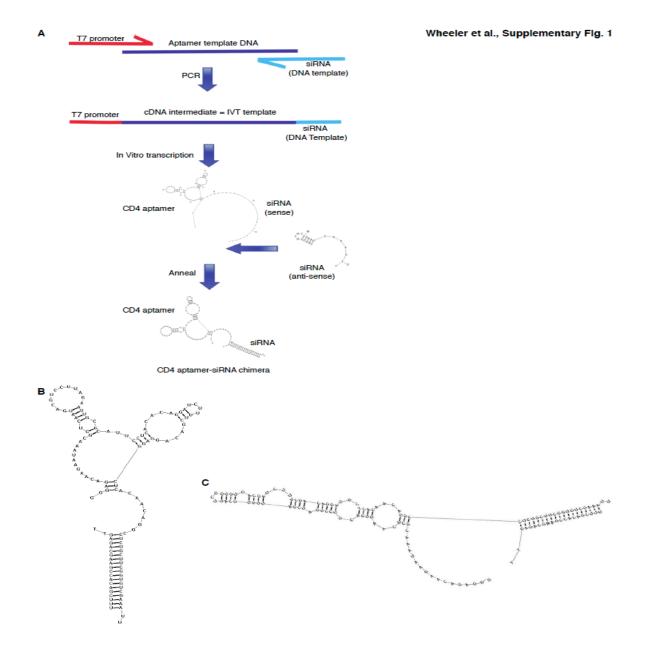
Supplementary Table 2: siRNAs

CCR5	Sense: 5' P-CUC UGC UUC GGU GUC GAA A dTdT - 3'
	Antisense: 5' P-UUU CGA CAC CGA AGC AGA G dTdT - 3'
gag	Sense: 5' P-GAU UGU ACU GAG AGA CAG GCU-dTdT – 3'
	Antisense: 5' P-CCU GUC UCU CUC AGU ACA AUC dTdT-3'
vif	Sense: 5' P-GTT CAG AAG TAC ACA TCC C-dTdT
	Antisense: 5' P-GGG AUG UGU ACU UCU GAA CdTdT-3'
Luciferase	Sense: 5' P-CUU ACG CUG AGU ACU UCG AdTdT -3'
	Antisense: 5' P-UCG AAG UAC UCA GCG UAA GdTdT -3'
CD45	Sense: 5' P-CUG GCU GAA UUU CAG AGC AdTdT -3'
	Antisense: 5' P-UGC UCU GAA AUU CAG CCA GdTdT -3'
Lamin	Sense: 5' P-CUG GAC UUC CAG AAG AAC AdTdT -3'
	Antisense: 5' P-UGU UCU UCU GGA AGU CCA GdTdT -3'
EG5	Sense: 5' P-CUG AAG ACC UGA AGA CAA UdTdT -3'
	Antisense: 5' P-AUU GUC UUC AGG UCU UCA GdTdT -3'

Supplementary Table 3: Primers for RT-PCR

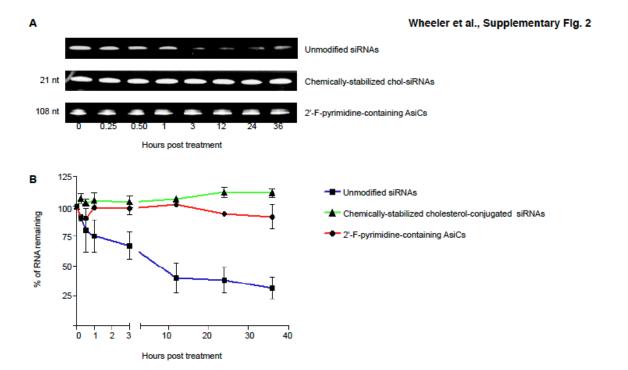
Lamin For	5' -TGA GAA CAG GCT GCA GAC CAT GAA - 3'
Lamin Rev	5' - CAA ACT CAC GCT GCT TCC CAT TGT -3'
GAPDH For	5' - AGC CAC ATC GCT CAG ACA C - 3'
GAPDH Rev	5' - GCC CAA TAC GAC CAA ATC C - 3'
IL8 For	5' - AGA CAG CAG AGC ACA CAA GC - 3'
IL8 Rev	5' - ATG GTT CCT TCC GGT GGT - 3'
/L6 For	5' - GAT GAG TAC AAA AGT CCT GAT CCA - 3'
IL6 Rev	5' - CTG CAG CCA CTG GTT CTG T - 3'
IFNB For	5' - TTG CTC TGG CAC AAC AGG TA - 3'
IFNB Rev	5' - TGG AGA AGC AAC CAG GAG A - 3'
IFNG For	5' - GGC ATT TTG AAG AAT TGG AAA G - 3'
IFNG Rev	5' - TTT GGA TGC TCT GGT CAT CTT - 3'
OAS1 For	5' - GGT GGA GTT CGA TGT GCT G - 3'
OAS1 Rev	5' - AGG TTT ATA GCC GCC AGT CA - 3'
IP10 For	5' - GAA AGC AGT TAG CAA GGA AAG GT - 3'
IP10 Rev	5' - GAC ATA TAC TCC ATG TAG GGA AGT GA - 3'
STAT1 For	5' - TTG GCA CCT AAC GTG CTG - 3'
STAT1 Rev	5' - TTC GTA CCA CTG AGA CAT CCT G - 3'
IL12 For	5' - CAC TCC CAA AAC CTG CTG CTG AG - 3'
IL12 Rev	5' - TCT CTT CAG AAG TGC AAG GGT A - 3'
HIV gag For	5' – AGT GGG GGG ACA TCA AGC AGC CAT GCA AAT – 3'
HIV gag Rev	5' – TGC TAT GTC ACT TCC CCT TGG TTC TCT – 3'

Supplementary Figures



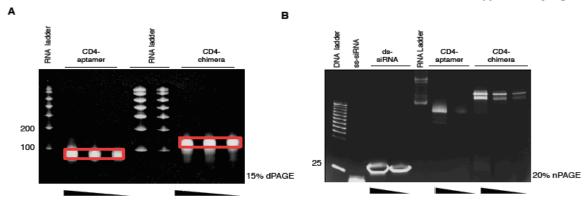
Supplementary Figure 1 Schematic of the synthesis of aptamer-siRNA chimeras (AsiCs) (A) AsiCs were synthesized from a DNA oligomer, encoding previously described CD4 aptamers (33), which was PCR amplified to introduce a 5'-T7 RNA polymerase promoter sequence and an siRNA sense strand at the 3'-end. From this cDNA intermediate, an ssRNA that bears the sense (passenger) strand of an siRNA at

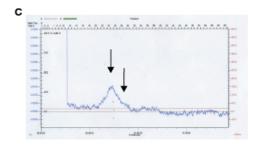
its 3'-end was generated by in vitro transcription (IVT). This ssRNA was annealed to a commercially synthesized anti-sense siRNA strand. Shown here are the predicted secondary structures for two chimeras, each containing a specific CD4-aptamer clone, (clone 9 (**B**) and clone 12 (**C**) from (33)), linked to a *CCR5*-siRNA duplex at their 3'-end.



Supplementary Figure 2 CD4-AsiCs are stable in human vaginal fluid CCR5 CD4-

AsiCs synthesized using 2'-fluoro-pyrimidines, chemically-stabilized 21-mer cholesterol-conjugated CCR5-siRNAs (chol-siRNA), and unmodified 21-mer *CCR5* siRNAs, each in 100 μ l PBS, were added to 100 μ L of vaginal fluid obtained from a healthy pre-ovulatory donor. At regular intervals, 20 μ L was removed, and resuspended in Trizol reagent for RNA extraction. Representative PAGE gels (**A**) and the average intensity (\pm S.E.M.) of bands from two independent experiments (**B**) analyzed by densitometry are shown. Both the stabilized cholesterol-conjugated siRNA and the CD4-AsiC are stable over the 36 h of the experiment, but unmodified siRNAs have a half-life in vaginal fluid of between 3 and 10 h.

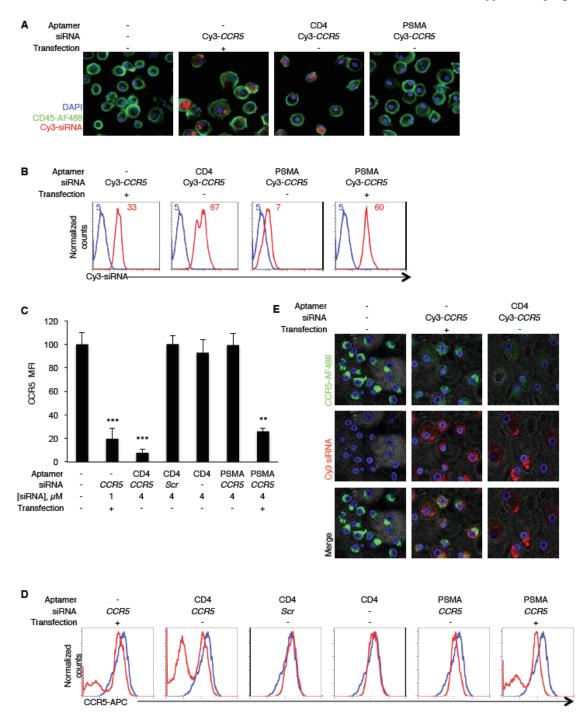




Supplementary Figure 3 Characterization of the in vitro transcribed (IVT) CD4-

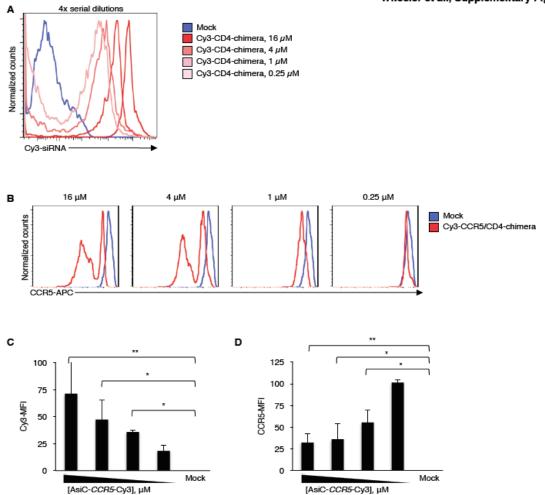
AsiC (A) Denaturing gel electrophoresis of the purified clone 9 IVT product. The purified chimera migrates as a single band just above the 100 nt RNA marker, consistent with the expected size of the CD4 aptamer linked to the siRNA passenger strand (~107 nt). The purified aptamer migrates below the 100 nt marker, consistent with its expected size (86 nt). (B) Analysis of the annealed CD4-AsiC by native gel electrophoresis shows two distinct components - a more abundant slowly migrating product and a less abundant more rapidly migrating product. As expected, the annealed CD4-AsiC RNA migrates more slowly than the purified aptamer lacking the siRNA. (c) Size exclusion chromatography suggests one major product eluting in fractions 20-32 (arrows indicate a major product and a minor product shoulder).

Wheeler et al., Supplementary Fig. 4



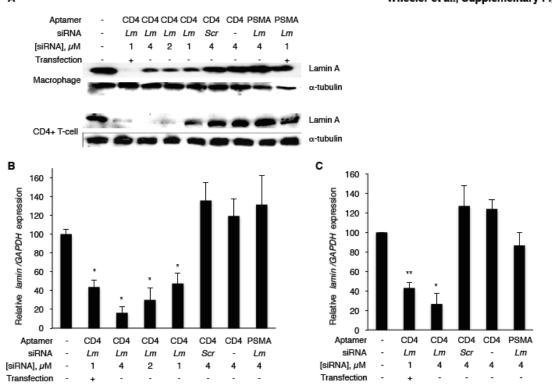
Supplementary Figure 4 Cy3-labeled CD4 aptamer-siRNA chimeras (CD4-AsiCs) are internalized by primary CD4+ monocyte derived macrophages (MDMs) and

silence CCR5 expression in vitro. (A) CD4-AsiCs or PSMA-AsiCs against CCR5 were Cy3-labeled at the 3' terminus of the antisense siRNA strand and incubated with primary human blood monocyte-derived macrophages (MDMs). Uptake was assessed 24 h later by fluorescence microscopy at 60x magnification (A) and flow cytometry (B, mock, blue; treated, red). Histograms and microscopy are representative of three independent experiments. Transfection controls for all MDM experiments used Oligofectamine (OF). To evaluate target gene silencing, primary MDMs were treated with either CD4- or PSMA-AsiCs against CCR5, in the presence or absence of transfection (OF, C, D). CD4-AsiCs containing a scrambled siRNA sequence (Scr), and CD4-aptamers alone served as controls. Shown are the mean (±S.E.M.) relative mean fluorescence intensity (MFI) for 5 healthy human subjects, normalized to the mocktreated sample (C) (*p<0.005; **p<0.0005, two-tailed t-test); and representative flow cytometry histograms of CCR5 expression (**D**) (mock treated cells, blue; treated cells, red). In the absence of transfection, CCR5 was knocked down only in cells treated with the CCR5 CD4-AsiC. (E) CCR5 knockdown in MDMs was confirmed by fluorescence microscopy, comparing mock treated cells (left), to cells either transfected with Cy3labeled siRNA using OF or treated with 4 µM Cy3-labeled CD4-AsiCs (DAPI, blue; CCR5-FITC, green; Cy3-siRNA, red; bright field overlay).



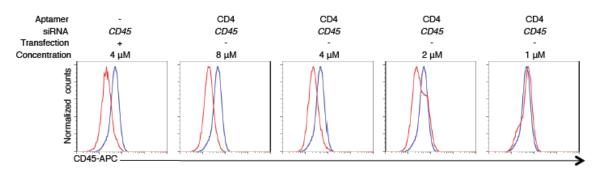
Supplementary Figure 5 Dose-dependent uptake of Cy3-labeled CD4 aptamer-siRNA chimeras (CD4-AsiCs) and knockdown of CCR5 in primary monocyte-derived macrophages (MDMs) MDMs purified from freshly isolated PBMCs, were treated with 4-fold dilutions of CD4-AsiCs bearing Cy3-labeled *CCR5* siRNA and analyzed by flow cytometry 72 h later. Representative data are shown in (A, B) and the aggregate mean fluorescence intensity (MFI) (mean ±S.E.M.) from duplicate samples from 2 healthy donors in two independent experiments are plotted in (C, D). Cy3-siRNA uptake increased (C) and CCR5 levels decreased (D) with increasing concentrations of CD4-AsiCs (*p<0.05, **p<0.01, two-tailed t-test).





Supplementary Figure 6 CD4 aptamer-siRNA chimeras (CD4-AsiCs) knock down *lamin A* expression specifically in primary human CD4+ cells in vitro Immunoblot of Iamin A protein expression following treatment with CD4-AsiCs or PSMA-AsiCs bearing siRNAs against *lamin A* (*Lm*) or a *scrambled* (*Scr*) siRNA control in primary MDMs and CD4 T cells (**A**). As positive controls, MDMs were transfected with Oligofectamine and T cells were transfected by electroporation. Without transfection, lamin A knockdown was restricted to cells treated with CD4-AsiCs bearing the *lamin A* siRNA. In separate experiments, specific knockdown of lamin A mRNA relative to *GAPDH* by CD4-AsiCs was also assessed by qRT-PCR in MDMs (**B**) (*N*=3) and CD4 T cells (**C**) (*N*=3). Shown are mean ± S.E.M. (*p<0.05, **p<0.005, two-tailed t-test).

Wheeler et al., Supplementary Fig. 7



Supplementary Figure 7 CD4 aptamer-siRNA chimera (AsiC)-mediated knockdown of CD45 expression Treatment with a CD4-AsiC targeting *CD45* knocked down CD45 expression in CD4+ Jurkat cells. CD45 surface expression is reduced in a dose-dependent manner 72 h after Jurkat T-cells are treated with increasing amounts of CD4-AsiCs targeting *CD45*. Transfection was by nucleofection in the positive control (left); the mock-treated sample histogram is shown in blue.

Supplementary Figure 8 CD4-AsiCs are Dicer substrates and are processed into functional siRNAs intracellularly in a Dicer-dependent fashion (A) To investigate the mechanism of CD4-chimera processing we first tested whether CD4-AsiCs are Dicer substrates in vitro by incubating CD4-chimeras against *CCR5* with recombinant Dicer at 37 °C. After 2 h, chimeras were virtually completely digested to a ~20-23 nt

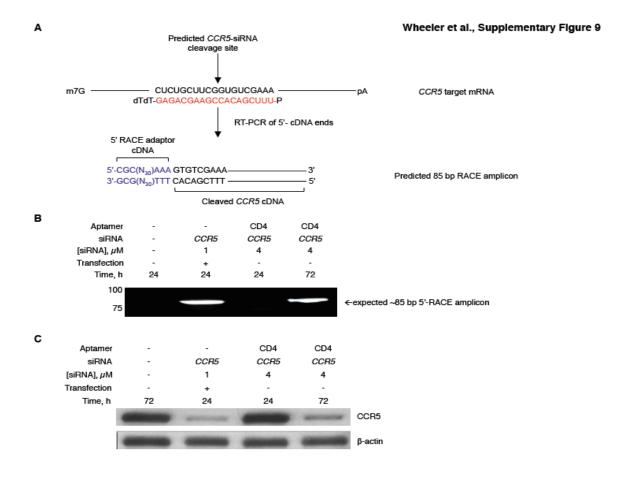
0

Mock

Lamin-siRNA

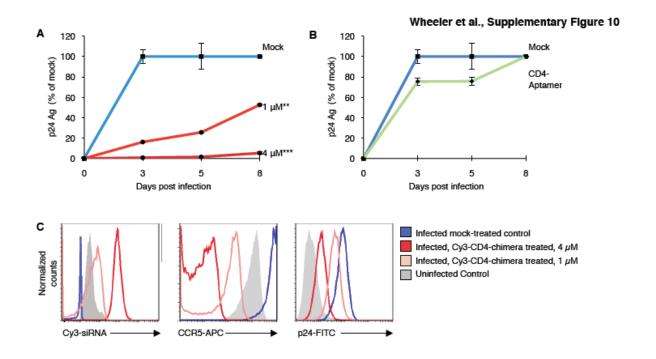
Lamin-AsiC

siRNA duplex that migrated like a commercially synthesized CCR5-siRNA. (B) Intracellular processing was demonstrated by treating primary CD4+ T cells with CD4-AsiCs bearing CCR5-siRNAs labeled with ³²P at their 5'-end. T cells were incubated for 24 or 72 h with radiolabeled chimeras. Total RNA was harvested by TRIZOL extraction and the same total number of counts was loaded onto a native polyacrylamide gel. Nucleofection of 5'-end-labeled chimeras and commercially-synthesized siRNA duplexes served both as controls and size standards. While some cleavage was seen at 24 h post treatment, after 72 h of treatment, the ³²P labeled chimera isolated from cell lysates was ~21-23 nt in length, suggesting that these fragments are processed in primary CD4+ cells into siRNA-sized duplexes. (C) To evaluate the functional dependence of CD4-AsiC-mediated silencing on intracellular Dicer expression we evaluated target gene silencing of lipofectamine-transfected CD4-chimeras in either wild-type (WT) or Dicer-1- HCT-116 cells (35). Silencing of lamin A by CD4-AsiCs was only observed in WT cells, whereas gene knockdown by transfected lamin A siRNAs was not differentially affected by Dicer expression. Thus, CD4-AsiCs are Dicer substrates and are processed in primary cells to release functional siRNA duplexes in a Dicer-dependent manner.



Supplementary Figure 9 CD4-AsiCs knockdown of gene expression occurs by cleavage of target mRNA (A) To confirm that CD4-AsiC functioned in the RNAi pathway and validate that AsiC-mediated silencing was due to siRNA-directed cleavage of target gene mRNA, we adopted a modified 5'-RACE (rapid amplification of cDNA ends) technique. Using primary MDMs treated with CD4-AsiCs against *CCR5*, total RNA was isolated 24 and 72 h after treatment. A predicted *CCR5* cleavage fragment of 85 bp in length was amplified using a CCR5-specific and 5'-RACE adaptor-specific primer set. *CCR5*-siRNAs transfected with Oligofectamine served as a positive control. (B) Amplified bands were specific to both transfected cells and only detected 72 h after

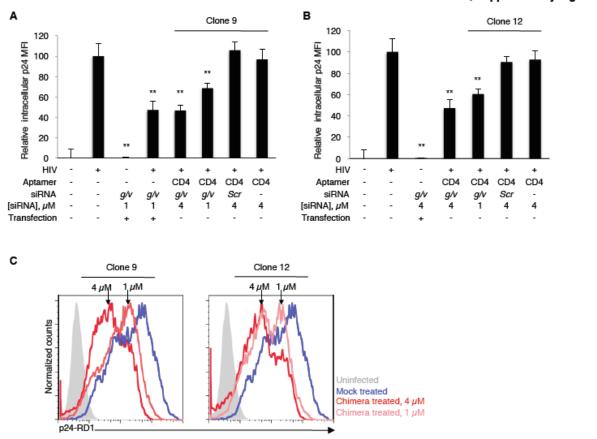
incubation with the CD4-chimera. Sequencing of the amplified fragments confirmed that cleavage occurred 10 nt from the 5'-end of the *CCR5* antisense strand, as predicted. (**C**) Functional silencing of target CCR5 protein was confirmed by immunoblot using protein isolated form the same TRIZOL extraction. These data, together with Supplementary Figure 8, suggest that CD4-AsiCs are processed by Dicer to release functional siRNA duplexes that direct target mRNA cleavage via the RNAi pathway.



Supplementary Figure 10 CD4-AsiCs against *CCR5* inhibit HIV replication in primary MDMs in vitro (A,B) MDMs from healthy donors were pre-treated for 48 h with either CD4-AsiCs bearing Cy3-labeled siRNAs against *CCR5* or CD4 aptamers alone. Cells were then infected with HIV-1_{BaL}. Viral replication, assessed by p24-Ag release into the culture supernatant, was measured by ELISA for 8 d following infection. Averaged (±S.E.M.) p24-Ag, normalized relative to mock-treated infected controls for two independent experiments using separate donors, shows dose-dependent inhibition of p24 Ag release in chimera-treated cells, whereas cells treated with the CD4 aptamer alone showed no significant differential effect relative to mock-treated controls. (**p<0.001, ***p<0.0001 one-way ANOVA with Dunnett multiple comparison test). (C) Eight days following infection cells were harvested and analyzed by flow cytometry for Cy3-siRNA uptake, CCR5 expression, and intracellular p24 by flow cytometry. Representative histograms of one patient sample show a dose-dependent increase in

Cy3-siRNA uptake and decrease in both CCR5 expression and HIV infection measured by intracellular p24 staining.

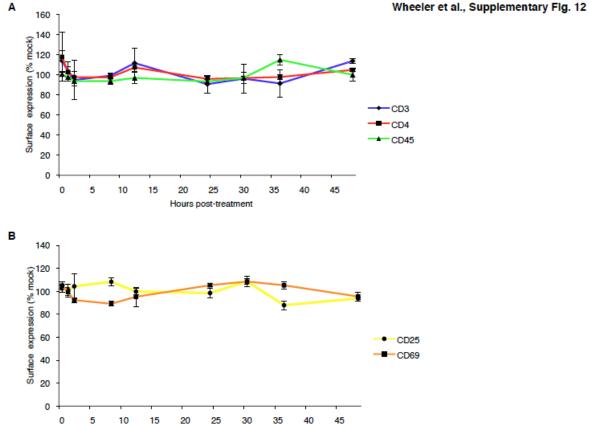
Wheeler et al., Supplementary Fig. 11



Supplementary Figure 11 Suppression of HIV replication in HeLa-CD4 and Jurkat cells by CD4 aptamer-siRNA chimeras (CD4-AsiCs) Cells were infected for 48 h with HIV-1_{IIIb} and then treated with a 4 μM mixture of CD4-AsiCs designed to knockdown HIV *gag* and *vif* (*g/v*) or a control scrambled (*Scr*) sequence. CD4-AsiCs were generated using either the clone 9 aptamer (A) or the clone 12 aptamer (B) (aptamer sequences in Suppl. Fig. 1). 48 h later, cells were stained for intracellular HIV-1 p24 and analyzed by flow cytometry. In (A) and (B), the average mean fluorescence intensity (MFI) of intracellular p24 staining of three independent experiments using HeLa-CD4 cells is graphed. To combine data from independent experiments, the mean background

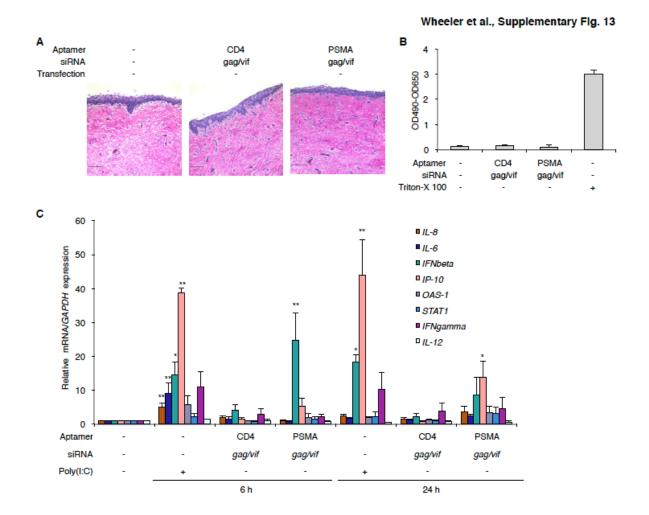
fluorescence of uninfected control cells was subtracted and the signal was then normalized to the mean value of HIV-infected mock-treated control cells. (Shown are mean ± S.E.M.; *p<0.05; **p<0.005, two-tailed t-test). In (**C**) Jurkat cells were treated 48 h after HIV-1_{IIIb} infection with an equimolar mixture of either clone 9 (left) or clone 12 (right) CD4-AsiCs encoding *gag* and *vif* siRNAs. Intracellular p24 staining was measured 48 h later by flow cytometry. The blue histogram represents p24 staining of HIV-infected mock-treated cells and the gray histogram represents uninfected controls. In both cell lines suppression of HIV replication by the CD4-AsiCs increased with dose.





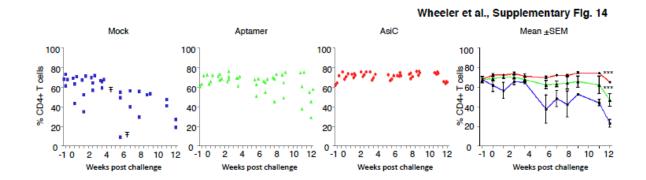
Supplementary Figure 12 Treatment with CD4 aptamer-siRNA chimeras (CD4-AsiCs) does not down-regulate cell surface CD4 expression or activate T cells CD4 T cells, immunomagnetically selected from peripheral blood of normal human donors, were treated with CD4-AsiCs. Surface expression of CD3, CD4, and CD45 (A) and CD25 and CD69 (B), were monitored by flow cytometry over 2 d. (A) Data represent average relative mean fluorescence intensity of surface receptor expression from duplicate samples from two patient donors, normalized with respect to mocktreated cells from the same donor. Errors bars = S.E.M.

Hours Post-Treatment



Supplementary Figure 13 Treatment with CD4 aptamer-siRNA chimeras (CD4-AsiCs) does not induce an interferon response or inflammation in polarized human cervicovaginal explants (A) Formalin-fixed paraffin embedded tissue sections from cervical explants treated with CD4-AsiCs or PSMA-AsiCs for 24 h were stained with hematoxylin and eosin. No histological changes in tissue integrity were detected in the AsiC-treated tissue. 10x magnification. Scale bars, 100 μm. (B) There was no evidence of cytotoxicity, as measured by LDH release in culture medium 24 h after AsiC treatment. 1% Triton X-100 served as the positive control. Data represent the average

(<u>+</u> S.E.M.) from quadruplicate biological replicates in one representative experiment of three independent experiments. (**C**) qRT-PCR was used to measure mRNA expression in cervical tissue explants 6 and 24 h after treatment with CD4-AsiCs or PSMA-AsiCs. Data were normalized to *GAPDH* mRNA. Cytokine and interferon responsive genes that might be triggered by innate immune RNA receptors were evaluated. Data represent mean (<u>+</u> S.E.M.) from at least three independent experiments (*p<0.05; **p<0.01, one way ANOVA with Dunnett multiple comparison test).



Supplementary Figure 14 Intravaginal application of CD4-aptamer-siRNA chimeras (AsiCs) maintains peripheral CD4+ T-cell counts in humanized BLT mice post vaginal HIV-1 challenge NOD/SCID/IL2rg^{-/-}(NSG)-BLT mice were treated IVAG with CCR5 CD4-AsiCs 48 hr (80 pmol) and 24 hr (40 pmol) before and 40 pmol each of gag and vif CD4-AsiCs 24 h before and 4 h after IVAG challenge with HIV_{JR-CSF}. The CD4-AsiC-treated mice (N=4) were compared to mice treated with the same total dose of CD4-aptamers without siRNA conjugates (N=4) and with PBS-treated mock controls (N=4). Mice were observed for 12 weeks after HIV challenge, and the two mock-treated mice that died are marked by ‡. The effect of treatment on peripheral blood CD4+ T cell counts is shown, (mean ± S.E.M., two-way ANOVA relative to mock with Bonferroni correction, ***p<0.0001). All the CD4-AsiC treated mice preserved their CD4 counts as did 2 of 4 aptamer-treated mice, but all the mock-treated mice showed a decline in CD4 count. Shown is the percent of CD4+CD3+ cells in PBMCs as determined by flow cytometry.